

Test Report

No. SHAEC1621611801

Date: 19 Oct 2016

Page 1 of 11

CHANGZHOU STARSEA ELECTRONICS CO.,LTD
1 TIANMUHU ROAD,CHANGZHOU JIANGSU CHINA

The following sample(s) was/were submitted and identified on behalf of the clients as : GLASS DIODE

SGS Job No. : SP16-035482 - SH
Client Ref. Information : DO-34,DO-35,DO-41,MINI MELF,DL-41 PACKAGE
Date of Sample Received : 29 Sep 2016
Testing Period : 29 Sep 2016 - 19 Oct 2016
Test Requested : Selected test(s) as requested by client.
Test Method : Please refer to next page(s).
Test Results : Please refer to next page(s).
Conclusion : Based on the performed tests on submitted sample(s), the results of Lead, Mercury, Cadmium, Hexavalent chromium, Polybrominated biphenyls (PBBs), Polybrominated diphenyl ethers (PBDEs) and Phthalates such as Bis(2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl phthalate (DBP) and Diisobutyl phthalate (DIBP) comply with the limits as set by RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU.

Signed for and on behalf of
SGS-CSTC Standards Technical Services (Shanghai) Co., Ltd.

Marry Ma

Marry Ma
Approved Signatory



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

SGS-CSTC Standards Technical Services (Shanghai) Co., Ltd.
Testing Center - Client's Laboratory

13th Building, No.889 Yishan Road Xuhui District, Shanghai China 200233 t E&E (86-21) 61402553 f E&E (86-21) 64953679 www.sgsgroup.com.cn
中国·上海·徐汇区宜山路889号3号楼 邮编: 200233 t HL (86-21) 61402594 f HL (86-21) 61156899 e sgs.china@sgs.com

Test Results :

Test Part Description :

Specimen No.	SGS Sample ID	Description
SN1	SHA16-216118.002	Transparent solid
SN2	SHA16-216118.003	Silvery metal
SN3	SHA16-216118.028	Silvery grain

Remarks :

- (1) 1 mg/kg = 0.0001%
- (2) MDL = Method Detection Limit
- (3) ND = Not Detected (< MDL)
- (4) "-" = Not Regulated

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

- Test Method :
- (1) With reference to IEC 62321-5:2013, determination of Cadmium by ICP-OES.
 - (2) With reference to IEC 62321-5:2013, determination of Lead by ICP-OES and AAS.
 - (3) With reference to IEC 62321-4:2013, determination of Mercury by ICP-OES.
 - (4) With reference to IEC 62321:2008, determination of Hexavalent Chromium by Colorimetric Method using UV-Vis.
 - (5) With reference to IEC 62321-6:2015, determination of PBBs and PBDEs by GC-MS.
 - (6) With reference to IEC 62321-8 Ed.1.0 (111/321/CD), determination of phthalates by GC-MS.

Test Item(s)	Limit	Unit	MDL	002	028
Cadmium (Cd)	100	mg/kg	2	ND	ND
Lead (Pb)	1000	mg/kg	2	542829*▲	ND
Mercury (Hg)	1000	mg/kg	2	ND	ND
Hexavalent Chromium (Cr(VI))	1000	mg/kg	2	ND	ND
Sum of PBBs	1000	mg/kg	-	ND	ND
Monobromobiphenyl	-	mg/kg	5	ND	ND
Dibromobiphenyl	-	mg/kg	5	ND	ND
Tribromobiphenyl	-	mg/kg	5	ND	ND
Tetrabromobiphenyl	-	mg/kg	5	ND	ND
Pentabromobiphenyl	-	mg/kg	5	ND	ND
Hexabromobiphenyl	-	mg/kg	5	ND	ND
Heptabromobiphenyl	-	mg/kg	5	ND	ND
Octabromobiphenyl	-	mg/kg	5	ND	ND
Nonabromobiphenyl	-	mg/kg	5	ND	ND



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com
 13th Building, No. 889 Yishan Road Xuhui District, Shanghai China 200233 t E&E (86-21) 61402553 f E&E (86-21) 64953679 www.sgs.com.cn
 中国·上海·徐汇区宜山路889号3号楼 邮编: 200233 t HL (86-21) 61402594 f HL (86-21) 61156899 e sgs.china@sgs.com

Test Report

No. SHAEC1621611801

Date: 19 Oct 2016

Page 3 of 11

Test Item(s)	Limit	Unit	MDL	002	028
Decabromobiphenyl	-	mg/kg	5	ND	ND
Sum of PBDEs	1000	mg/kg	-	ND	ND
Monobromodiphenyl ether	-	mg/kg	5	ND	ND
Dibromodiphenyl ether	-	mg/kg	5	ND	ND
Tribromodiphenyl ether	-	mg/kg	5	ND	ND
Tetrabromodiphenyl ether	-	mg/kg	5	ND	ND
Pentabromodiphenyl ether	-	mg/kg	5	ND	ND
Hexabromodiphenyl ether	-	mg/kg	5	ND	ND
Heptabromodiphenyl ether	-	mg/kg	5	ND	ND
Octabromodiphenyl ether	-	mg/kg	5	ND	ND
Nonabromodiphenyl ether	-	mg/kg	5	ND	ND
Decabromodiphenyl ether	-	mg/kg	5	ND	ND
Di-butyl Phthalate (DBP)	1000	mg/kg	50	ND	ND
Benzyl Butyl Phthalate (BBP)	1000	mg/kg	50	ND	ND
Di-2-Ethyl Hexyl Phthalate (DEHP)	1000	mg/kg	50	ND	ND
Diisobutyl Phthalates (DIBP)	1000	mg/kg	50	ND	ND

Notes :

- (1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863.
- (2) On 4 June 2015, Commission Directive (EU) 2015/863 was published in the Official Journal of the European Union (OJEU) to include the phthalates BBP, DBP, DEHP and DIBP into ANNEX II of the Rohs Recast Directive. The new law restricts each phthalate to no more than 0.1% in each homogeneous material of an electrical product.
- (3) The restriction of DEHP, BBP, DBP and DIBP shall apply to medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, from 22 July 2021.
- (4) The restriction of DEHP, BBP, DBP and DIBP shall not apply to cables or spare parts for the repair, the reuse, the updating of functionalities or upgrading of capacity of EEE placed on the market before 22 July 2019, and of medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, placed on the market before 22 July 2021.
- (5) The restriction of DEHP, BBP and DBP shall not apply to toys which are already subject to the restriction of DEHP, BBP and DBP through entry 51 of Annex XVII to Regulation (EC) No 1907/2006.
- (6) *According to the declaration from the client, Lead (Pb) in No.002 is exempted by EU RoHS directive 2011/65/EU based on [ANNEX III 7(c)-I]: Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g. piezoelectronic devices, or in a glass or ceramic matrix compound.
- (7) *The test result is only for reference



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

SGS-CSTC (Shanghai) Technical Services (Shanghai) Co., Ltd.
Testing Center - Chemical Laboratory

3rd Building, No.889 Yishan Road Xuhui District, Shanghai China 200233

t E&E (86-21) 61402553 f E&E (86-21) 64953679

www.sgs.com

中国·上海·徐汇区宜山路889号3号楼 邮编: 200233

t HL (86-21) 61402594 f HL (86-21) 61156899

e sgs.china@sgs.com

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method : (1) With reference to IEC 62321-5:2013, determination of Cadmium by ICP-OES.
 (2) With reference to IEC 62321-5:2013, determination of Lead by AAS.
 (3) With reference to IEC 62321-4:2013, determination of Mercury by ICP-OES.
 (4) With reference to IEC 62321-7-1:2015, determination of Hexavalent Chromium by Colorimetric Method using UV-Vis.

Test Item(s)	Limit	Unit	MDL	003
Cadmium (Cd)	100	mg/kg	2	ND
Lead (Pb)	1000	mg/kg	2	24
Mercury (Hg)	1000	mg/kg	2	ND
Hexavalent Chromium (Cr(VI))▼	-	µg/cm ²	0.10	ND

Notes :

- (1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863.
- (2) ▼= a. The sample is positive for CrVI if the CrVI concentration is greater than 0.13 µg/cm². The sample coating is considered to contain CrVI
 b. The sample is negative for CrVI if CrVI is ND (concentration less than 0.10 µg/cm²). The coating is considered a non-CrVI based coating
 c. The result between 0.10 µg/cm² and 0.13 µg/cm² is considered to be inconclusive - unavoidable coating variations may influence the determination

Information on storage conditions and production date of the tested sample is unavailable and thus Cr(VI) results represent status of the sample at the time of testing.

IEC 62321 series is equivalent to EN 62321 series

<http://www.cenelec.eu/dyn/www/f?>

p=104:30:1742232870351101:::FSP_ORG_ID,FSP_LANG_ID:1258637,25

Element(s)

Test Method : With reference to US EPA Method 3050B:1996, analysis was performed by ICP-OES.

Test Item(s)	Unit	MDL	003
Beryllium (Be)	mg/kg	5	ND



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

Hexabromocyclododecane (HBCDD)

Test Method : With reference to IEC 62321:2008, analysis was performed by GC-MS.

<u>Test Item(s)</u>	<u>Unit</u>	<u>MDL</u>	<u>002</u>	<u>028</u>
Hexabromocyclododecane (HBCDD)	mg/kg	10	ND	ND



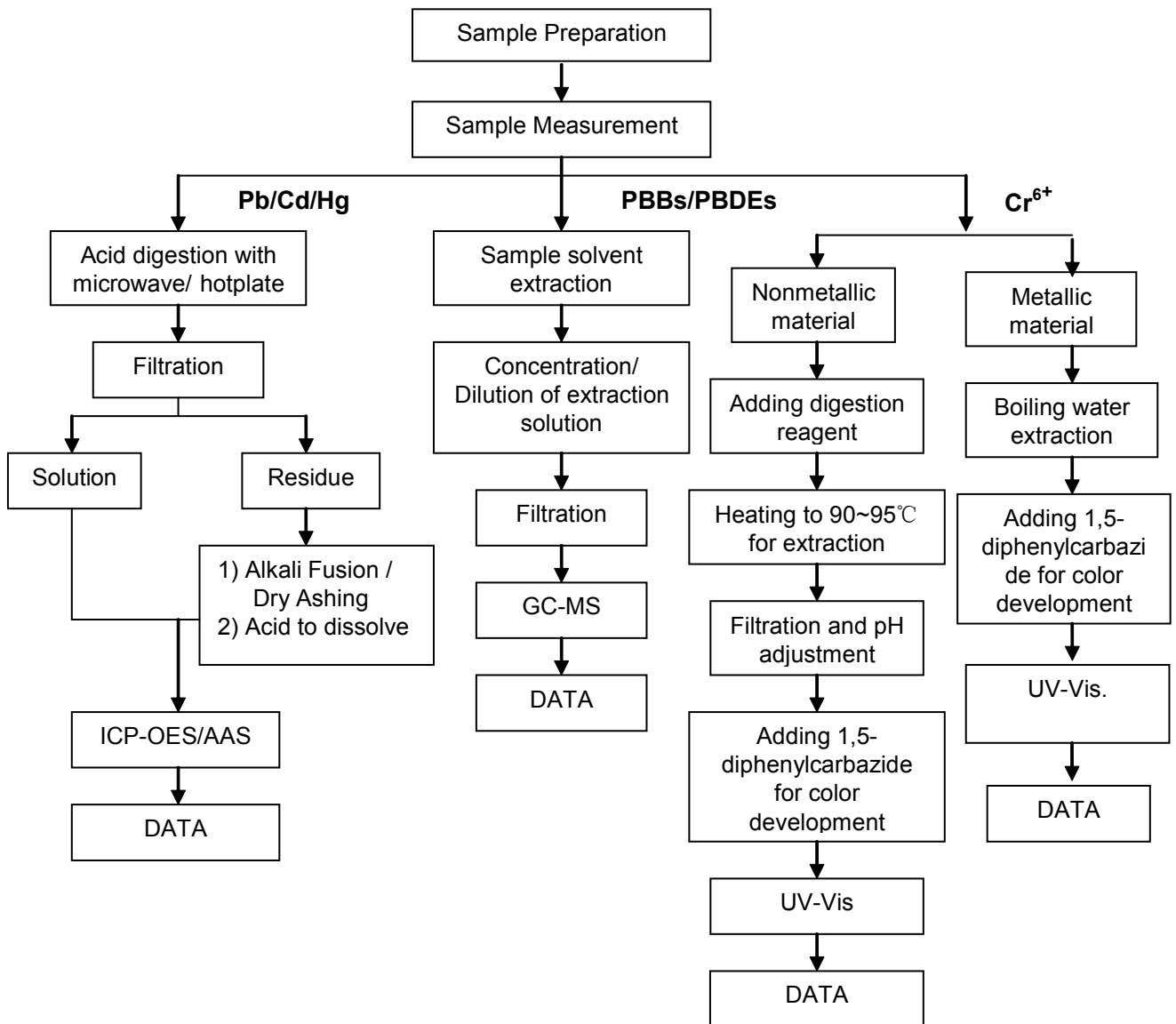
Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

ATTACHMENTS

RoHS Testing Flow Chart

- 1) Name of the person who made testing: Rony Chen/Gary Xu/Sean Li/Selina Song
- 2) Name of the person in charge of testing: Jan Shi/Luna Xu/Jessy Huang/Stone Chen
- 3) These samples were dissolved totally by pre-conditioning method according to below flow chart. (Cr⁶⁺ and PBBs/PBDEs test method excluded)



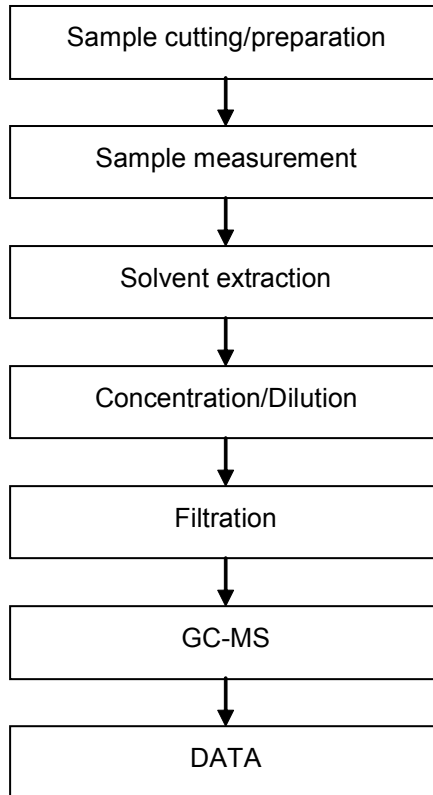
Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

ATTACHMENTS

Phthalates Testing Flow Chart

- 1) Name of the person who made testing: Sherlock Gao
- 2) Name of the person in charge of testing: Jessy Huang



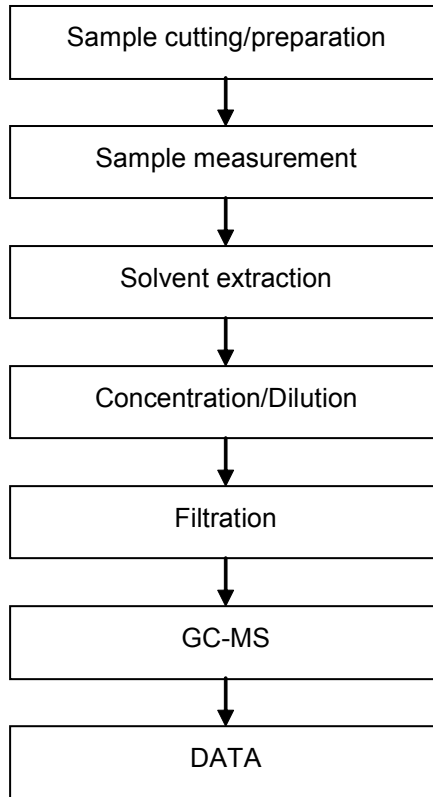
Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

ATTACHMENTS

HBCDD Testing Flow Chart

- 1) Name of the person who made testing: Gary Xu
- 2) Name of the person in charge of testing: Jessy Huang



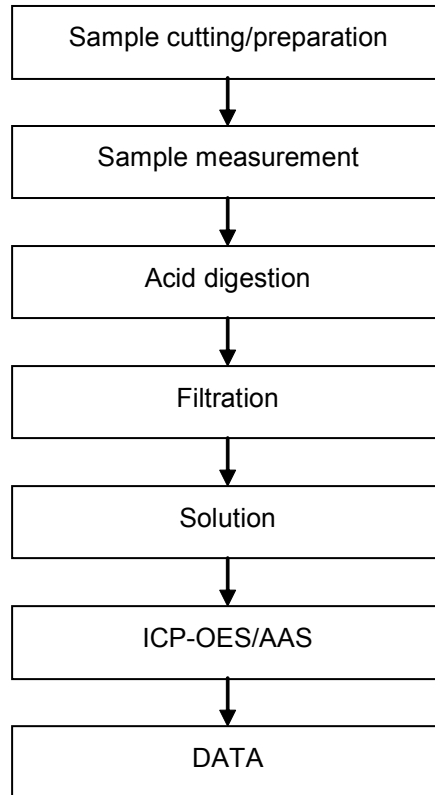
Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

ATTACHMENTS

Elements Testing Flow Chart

- 1) Name of the person who made testing: Rony Chen/Selina song
- 2) Name of the person in charge of testing: Luna Xu/Jan Shi



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

Sample photo:



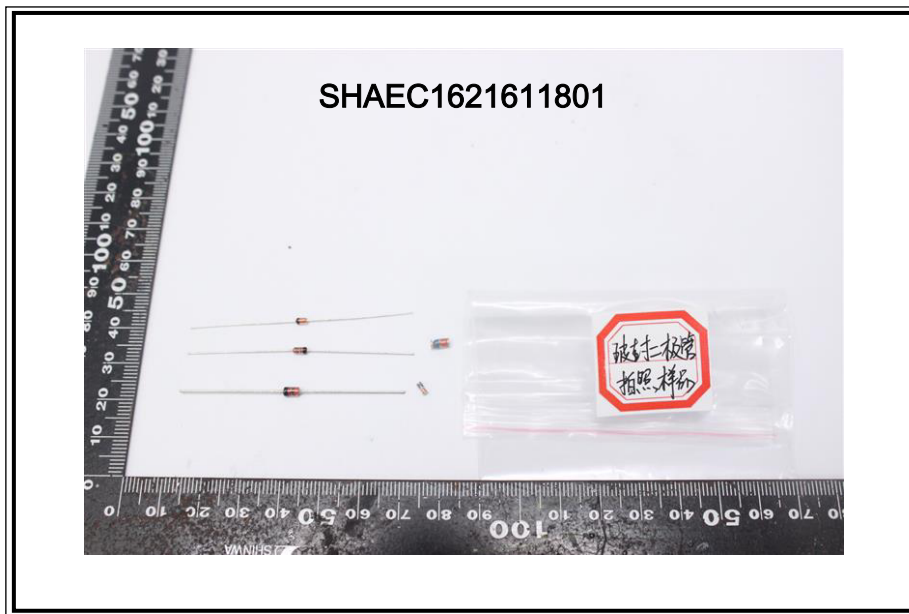
Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

SGS-CSTC Shanghai Technical Services Co., Ltd.
Testing Center - Chemical Laboratory

13th Building, No. 889 Yishan Road Xuhui District, Shanghai China 200233
中国·上海·徐汇区宜山路889号3号楼 邮编: 200233

t E&E (86-21) 61402553 f E&E (86-21) 64953679 www.sgs.com.cn
t HL (86-21) 61402594 f HL (86-21) 61156899 e sgs.china@sgs.com



SGS authenticate the photo on original report only

*** End of Report ***



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com